T-639 P.004/004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Re: Appeal to the Board of Patent Appeals and Interferences

APPLICATION

| In re PATENT APPLICATION of | Group Art Unit: | 1644 | | | | |
|---|---|--|-------------------------------------|-----------------------|--|--|
| Inventor(s): Hanna et al. | Examiner.: | P. Gambel | 1999-30 <u>-04</u> | 66A | | |
| Apple No. 09/ 435,992 | Atty, Dkt. P | 0275478 | Client Re | | | |
| Series Code 1 | | | | | | |
| Filed: November 8, 1999 | | OFF | 1014 | 1 | | |
| Title: Treament of B Cell Malignancies Using anti-CD40L Antibodies in Combination With Anti-CD20 Antibodies and/or | | | ICIA | | | |
| Chemotherapeutics and Radiotherapy | | Ull | IUIT | i ilan | | |
| Chemotherapediles and reducing the says | Date: January 10 | 2003 | PG/3 | 15/03 | | |
| | pate: January to | , 2003 | , , | 13105 | | |
| Hon. Commissioner of Patents | | | | | | |
| Washington, D.C. 20231 | | | | | | |
| Sir: | | | | | | |
| | | . Annesie and ir | nerferences | from | | |
| 1. NOTICE OF APPEAL: Applicant nereby appeals | 40 3003 40 to the posto of Lafet | ir Whheeis eild ii | MEI IBI ELICOS | , ,, = | | |
| the decision (not Advisory Action) dated <u>July</u> of the Examiner twice/finally rejecting claim(s) in | | | | | | |
| | | | | | | |
| application 2. BRIEF on appeal in this application attached in t | triplicate (extendable u | p to 5 months). | | | | |
| BRIEF on appeal in this application attached in the application attached | nder Rule 194 (due tw | g months after E | xaminers | | | |
| | | | | | | |
| A Reply Brief is attached in triplicate (due two mor | oths after Examiners A | ınswer — <u>unexte</u> sovincebi | noable). | | | |
| 5. Small entity" statement filed: here | ewith. | reviously. | | | | |
| 6. Fee NOT required because paid in prior appeal | in which the Board of | Patent Appeals | and interfere | ences | | |
| 6. Fee <u>NOT</u> required because paid in prior appear did <u>not</u> render a decision on the merits (35 USC | : 134). | | _ | | | |
| dio tor tender a decision on the this in the | | Large/Small | 1 [| Fee | | |
| 7. FEE CALCULATION | | Entity | | Code | | |
| If box 1 above is X'd, | enter | \$320/160 | \$320 | 119/219 | | |
| If box 1 above is X'd, If box 2 above is X'd, | enter | \$320/160 | \$0 | 120/220 | | |
| If box 2 above is X'd, | enter | \$280/140 | \$0 | 121/221 | | |
| If box 4 above is X'd, | enter nothing | - 0 - (no fee) | | | | |
| 8. Original due date: July 10, 2002 | | | ., | 115/215 | | |
| O Petring is nereby made to extend the original que date | (1 ma) \$110/\$5 | | | 116/216 | | |
| It to cover the date this response is filed for which the | (2 mos) \$410/\$20 | 2 | | 117/217 | | |
| li r ouisite fee is attached. | (3 mos) \$930/\$466 (4 mos) \$1,450/\$72 | | | 118/218 | | |
| l i | | | \ | 128/228 | | |
| (Usable only if box 2 is X'd- | sly since above | , | 13 (27.7) | | | |
| 1 10. Cittol città bioxidado constituto de la constituto | mendment | -\$400 | v - | 10 A 5 1 | | |
| Total | Extension Fee | | +\$530 | | | |
| | | TOTAL FEE | | | | |
| 12. | | | PLEASE C | HARGE | | |
| (Our Deposit Account No. 03-3975) | | | OUR DEP | , ACCT. | | |
| | 0275478 | • | | | | |
| Ca | Ma | | | - 4E | | |
| CHARGE STATEMENT: The Commissioner is hereby authorized to charge | e any fee specifically author | nzed herealter, or ar | ly Missing or In Nich May be 18 | isufficient Boured | | |
| fee(s) filed, or asserted to be filed, or which should have ober the | e to the poolers and the | resulting Official Do | cument under i | Rule 20, or | | |
| under Rules 16-18 (<u>missing or insufficiencies only</u>) now or nerestrer relative credit any overpayment, to our Accounting/Order Nos. shown above, for which is the credit and one of the credit and on | nich purpose a <u>duplicate</u> co | py of this sheet is all | ached. s filed | | | |
| credit any overpayment, to our Accounting/Order Nos. Shown above, to with This CHARGE STATEMENT does not authorize charge of the issue fe | e numbraniess au isane isa | . Natibilital allast i | # (mod- | | | |
| Pillsbury W | | | | | | |
| Intellectual Property Group | | | | | | |
| III tellopidai i | | | == - | | | |
| P.O. Box 10500 By: Atty: R bin | L. Teskin | Reg. | No. <u>35,0</u> | 030 | | |
| McLean, VA 22102 03/17/sl0(703)/905/2000 | | | | | | |
| 7. (Tela(703))905-12600 (1033713 | 1 | - | /702\ 00E 9 | esan | | |
| 03\10\alpha 10\alpha \text{10\alpha \text{10\ | | | (703) 905-2 (703) 905- 2 | 2000 | | |
| 01 FARTV/Sec: RLT/eto.00 CH 02 FC:1253 NOTE: File this cover sheet in duplicate wi | es DTO rocaine (DAT- | :(9) \effe ha <i>e (</i> 0 <i>2</i> 01 | chments | , ar W W | | |
| 02 FC:1253 NOTE: File this cover sheet in duplicate wi | m LIO iscsibi (LVI. | INOU HIM DIM | .,,,,, | T-126 5/02 | | |



RECEIPT FROM PTO FOR INDICATED ITEMS

| ė | (Do NOT Use for New or Continuing Applications of Any Kind) Use 2 postcards for all New Applies (cont/Div/CIP, too) Use this sheet when filing CPA |
|-------------|--|
| | : 09/435,992 Atty: Robin L. Teskin/djmoten |
| First Inver | Atty: Robin L. Teskin/gjmoten tor: Hanna, et al. Date: January 10, 2003 Matter No: 0275478 |
| | Client No: 037003 |
| EN | CLOSED: Response/Amendment |
| | Completion Request for R 53(f)/PCT Nat. |
| # | No of Pages Abstract |
| # | No. of Pages Spec and Claims |
| # | No. of Numbered Claims <u>Only</u> |
| # | No of Sheets of Drawings (Figs) |
| | ☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter |
| | Declaration # of pages |
| | Assignment |
| | Small Entity Declaration |
| | Extension Petition (PAT-111) |
| # | No. of Priority Documents |
| | IDS Letter Cited Appln(s) Foreign Sch Rep/OA |
| | PTO-1449 Cited Documents |
| | Issue Fee Transmittal Form PTOL-85(b) <u>in duplicate</u> |
| \$ 85 | |
| ¥ 1.33 | The state of the s |
| HER: | |
| | Current DUE DATE: January 10, 2003 |
| | (Submit Single Copy Only) |
| | |

LIGCUPIE (114

PAT-103A 6/02

SYDNEY TOKYO

WASHINGTON DC



OFFICIAL

1600 TYSONS BOULEVARD MCLEAN, VA 22102 703.905.2000 F: 703.905.2500

2 /2/s/03

| FAC8IMILE | | | Total Pages (including cover): 4 Must Be Sent By: | | |
|-------------------|----------|---|--|----------------|---|
| HOUSTON | Date: | March 5, 2003 | | | |
| LONDON | | | | | |
| LOS ANGELES | To: | Phillip Gambel | Fax No: | (703) 746-5293 | |
| NEW YORK | · | | | | |
| NORTHERN VIRGINIA | Company: | | Phone No: | | |
| ORANGE COUNTY | Confirm: | | G518 | | |
| SACRAMENTO | Connm: | | Confirmed By: | | |
| SAN DIEGO | | • • • • | | | ~ |
| SAN FRANCISCO | From: | Rana L. Gredvig | Phone No: | (703) 905-2254 | |
| SILICON VALLEY | User No: | 41651 | C/M No: | 037003-0275478 | |
| SINGAPORE | | *************************************** | -7/M (4B. | 037003-0273478 | |
| STAMFORD | Comments | : | | | |

Confidentiality Note: The documents accompanying this facsimile transmission may contain confidential information which is legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, or the person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of any of the information contained in this transmission is strictly PROHIBITED. It you have received this transmission in error, please immediately notify us by telephone and mail the onginal transmission to us Thank you.

| ir you have not properly received this tax, please | call (703) 905-2000. | Thank you. | |
|--|----------------------|------------|---|
| Operator. | _Time Sent | Batch (D: | _ |
| | | | |

KI - FAX DOT